

Hearing Tribunals

Purpose

This document is <u>not</u> to be used as a substitute for any official Netball NSW Policy. The relevant Netball NSW Policy should be read in its entirety before consulting this document. This reference guide is designed to be used in conjunction with the full policy to simplify the process for Affiliated Clubs and Associations who may be required to undertake a hearing tribunal in accordance with the Complaints Handling Procedure. If you have any questions or would like to discuss any of the information contained within this document, please contact <u>policy@netballnsw.com</u>.

Relevant Netball NSW Policies

- Member Protection Policy Attachment B: Complaints Handling Procedure
- <u>Netball NSW Member Protection Policy</u>

Checklists

Pre-Tribunal					
Task	Policy/Rule	Responsibility	Key Considerations	Actioned	
Appoint Tribunal	Complaints Handling	Hearing Officer	Should have		
Members -	Procedure – 8.2 (b),		experience and		
Chairperson	8.3.1 (a), 8.3.2, 8.3.3		skills, including legal		
			background or chair		
			experience if		
			possible. Can hold		
			another role within		
			Affiliate, but cannot		
			be an Executive		
			Committee member		
			of the		
			club/association		
			organising Tribunal.		
Appoint Tribunal	Complaints Handling	Hearing Officer	Two additional		
Members –	Procedure – 8.2 (b),		members. Can hold		
Additional	8.3.1 (b), 8.3.3		another role within		
Members			Affiliate, but cannot		
			be an Executive		
			Committee member		
			of the		
			club/association		
			organising Tribunal.		
Schedule venue,	Complaints Handling	Hearing Officer			
time and date	Procedure – 8.2 (a)				
Declare potential	Complaints Handling	Tribunal	Consider if they can		
conflicts of interest	Procedure – 8.5 (d)	Members	act impartially?		
Deal with any	Complaints Handling	Tribunal	Remove Hearing		



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Conflicts of Interest	Procedure – 8.4 (d)	Chairperson	Tribunal member
			with conflict or
			ensure appropriate
			safeguards.
Provide Hearing	Complaints Handling	Hearing Officer	No additional
Tribunal with all	Procedure – 8.2 (f)		evidence/witness
material relating to			statements will be
the matter			obtained prior to
			the hearing unless
			specifically
			requested by the
			Chairperson.
Notify Respondent	Complaints Handling	Hearing Officer	Use Appendix G.
of Hearing Tribunal	Procedure – 8.2 (g), 8.2		Must be done at
	(h), and Appendix G		least five days prior
			to the Hearing.
Determine	Complaints Handling	Tribunal	Invite anyone they
witnesses to attend	Procedure – 8.2 (g), 8.4	Chairperson	believe will assist.
	(a), 8.6.1.1, 8.6.1.4		Consider how they
			should present their
			evidence.
		Hearing Officer	Notify those
			required to attend
			at least five days
			prior to the Hearing.
			Respondent,
			complainant, and
			witnesses are
			required to attend.
Consider evidence	Complaints Handling	Tribunal	As provided by
and information	Procedure – 8.5 (a)	Members	Hearing Officer or
			Chairperson.
Consider any	Complaints Handling	Hearing Officer	Any person may
applications for	Procedure – 8.6.2.2	or Tribunal	apply for
adjournment		Chairperson	adjournment.
-			Hearing Officer or
			Chairperson have
			sole discretion.
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During Tribunal				
Task	Policy/Rule	Responsibility	Key Considerations	Actioned
Take attendance	Complaints Handling Procedure – 8.6.3 (a)	Tribunal Chairperson	Identify panel members and ensure respondent is present.	
Consider failure to attend by any person required to attend without a reasonable excuse	Complaints Handling Procedure – 8.6.2.1	Tribunal Chairperson	Can proceed in the absence of the person. Can make decision provided all of the correct steps have been taken.	
Monitor for unreasonable or intimidatory behaviour	Complaints Handling Procedure – 8.6.3 (j)	Tribunal Chairperson	Chairperson has the power to modify proceedings as appropriate or stop involvement of any person.	
Notify Complainant(s) and Respondent of rights	Complaints Handling Procedure – 8.6.3 (b)	Tribunal Chairperson	Right to remain in hearing until all evidence is presented. Must not be present while panel considers findings and determines disciplinary measures.	
Read charges	Complaints Handling Procedure – 8.6.3 (c), 8.6.3 (d)	Tribunal Chairperson	Ensure Respondent understands charges. Chairperson to explain if not.	
Respondent given opportunity to contest	Complaints Handling Procedure – 8.6.3 (e)	Tribunal Chairperson	Ask whether or not the respondent intends to contest.	
<u>NO</u> Contest	Complaints Handling Procedure – 8.6.3 (f)	Tribunal Chairperson	Read summary of facts, admit complainant(s) evidence, no witnesses need to be called (unless deemed to be required).	



Contest by	Complaints Handling	Complainant(s)	Brief notes may be
Respondent –	Procedure – 8.6.3 (g),		referred to
Complainant(s) to	8.6.3 (g) (i)		(written/verbal)
present evidence			
Contest by	Complaints Handling	Complainant(s)	Witnesses must be
Respondent –	Procedure – 8.6.3 (g) (ii),		pre-approved to
Complainant(s) to	8.6.4		attend by
call witnesses			Chairperson.
Contest by	Complaints Handling	Respondent	At the discretion of
Respondent –	Procedure – 8.6.3 (g)		the Chairperson.
Respondent to	(iii) <i>,</i> 8.6.4		
question			
Complainant(s) and			
witnesses			
Contest by	Complaints Handling	Respondent	Brief notes may be
, Respondent –	Procedure – 8.6.3 (i),		referred to
Respondent to	8.6.3 (i) (i)		(written/verbal).
respond			
Contest by	Complaints Handling	Respondent	Subject to approval
Respondent –	Procedure – 8.6.3 (i) (ii),	neopondent	at the discretion of
Respondent to call	8.6.4		the Chairperson.
witnesses	0.0.4		the charperson.
Contest by	Complaints Handling	Complainant	At the discretion of
Respondent –	Procedure – 8.6.3 (i) (iii),	Complainant	the Chairperson.
Complainant(s) to	8.6.4		the chanperson.
question	0.0.4		
•			
Respondent and witnesses			
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Consider evidence	Complaints Handling	Tribunal	May include video
presented by	Procedure – 8.5 (b),	Members	evidence at the
involved parties	8.6.3 (k)		discretion of the
	a		panel.
Consider lesser	Complaints Handling	Tribunal	May decide a lesser
charge	Procedure – 8.6.3 (I),	Members	charge is more
	8.6.3 (m), 8.6.3 (n)		appropriate if not
			satisfied with
			particular charge.
			Apply penalty
			accordingly.
Provide	Complaints Handling	Tribunal	Each member is
independent	Procedure – 8.5 (c),	Members	independent and
assessment and	8.6.3 (o)		does not need to
contribute to			follow the
agreed decision		1	Chairparson
agreed decision			Chairperson.
			Consider what is



Reach an outcome	Complaints Handling Procedure – 8.1.4	Tribunal Chairperson	Suspend, disqualify, reprimand, ban, otherwise deal with matter.	
Inform Respondent	Complaints Handling	Tribunal	Provide decision to	
of finding	Procedure – 8.6.3 (p),	Chairperson	respondent and	
	8.6.3 (q)		complainant.	

Post-Tribunal					
Task	Policy/Rule	Responsibility	Key Considerations	Actioned	
Communicate	Complaints Handling	Tribunal	Must be completed as		
outcome to	Procedure – 8.4 (b),	Chairperson	soon as possible.		
Hearing Officer	8.6.3 (r)				
Complete record	Complaints Handling	Tribunal	Return to Hearing		
of tribunal hearing	Procedure – Appendix	Chairperson	Officer within 24 hours.		
	H, 8.4 (c), 8.6.3 (s),				
	8.6.3 (t), 8.6.3 (u)				
Provide NNSW a	Complaints Handling	Hearing	Send to		
copy of record	Procedure – 8.6.3 (u)	Officer	policy@netballnsw.com		
Provide record of	Complaints Handling	Hearing	To Complainant and the		
hearing tribunal to	Procedure – , Appendix	Officer	Secretary of		
Complainant and	H, 8.6.3 (s)		Respondent's		
association			club/association within		
			24 hours.		
Consider Appeals	Complaints Handling	Tribunal	See 'Appeals' Resource		
process	Procedure - 9	Members	Guide.		

Frequently Asked Questions

Can the panel members/chairperson be members of my association executive?

Whilst there is no strict rule against this it is strongly recommended that members of the executive do not act as Tribunal Chairperson or panel members. This is to avoid any actual or perceived biases from impacting on the tribunal process. You should aim to select tribunal members which are completely independent from the issues and persons involved.

How many witnesses are each side allowed?

The Tribunal Chairperson has discretion over the number of witnesses (and which witnesses) attend the tribunal hearing. If a party wishes to nominate witnesses they should make a request to the Chairperson via the Hearing Officer. The Chairperson can then either provide permission for the nominated witness to attend and give evidence or not. The Chairperson is also entitled to call additional witness that they consider to be relevant to the matter, even if they are not nominated by either side.



Are witnesses entitled to sit in the tribunal hearing?

Before they give their evidence witnesses (excluding the Respondent and the Reporting Person) are not entitled to be in the hearing room. However, once a witness has provided their evidence they may remain in the hearing room (so long as it is practical) if they wish to do so. However, witnesses who chose to remain in the hearing room should not be called upon to provide further evidence.

What rules of evidence apply?

No rules of evidence apply. A Tribunal panel may take into account any evidence, in whatever form, they consider will be of assistance.

Is it ok to hold a tribunal remotely?

As above, the Tribunal panel has discretion over how evidence is to be submitted and accepted. This means that the tribunal can accept evidence provided by alternative means such as telephone, zoom, or written submissions. Ultimately this aspect of the tribunal is left to the tribunal panel to determine how best to collect evidence.

Can the Reporting Person/Respondent/ Witness have a supporting person?

Yes any person involved in a tribunal hearing may nominate a support person. However, this support person does not have any rights to answer or ask questions unless the tribunal directs them to.

Can the support person ask/answer questions?

The role of the support person is not to answer or ask questions on behalf of the person they are supporting. HOWEVER, in the case of a person who is under the age of 18, or who is otherwise unable to represent themselves, the tribunal may provide permission for an adult representative (not acting as a legal advisor) to ask/answer questions on that person's behalf. This is a different role to the support person and is at the discretion of the tribunal.

Who is entitled to know the outcome of a tribunal hearing?

NNSW considers that any person involved in the tribunal hearing (e.g. witnesses etc.) can learn the outcome of the tribunal hearing if they wish.

Should the panel members just agree with the Tribunal Chairperson?

No. The point of having three panel members is that each panel member provides their views and that the panel reaches an agreed position.

Does the tribunal panel need to provide a decision at the tribunal hearing?

It is preferable that a decision be communicated to all parties at the tribunal hearing. However, where this is impractical, or where the tribunal panel members require further time to deliberate, the decision of the tribunal should be communicated to the parties <u>as soon as possible</u> following the conclusion of the tribunal. As a guide 24 to 48 hours after the conclusion of the tribunal hearing would be considered a reasonable timeframe.

Should a tribunal panel provide Netball NSW with a copy of any decision handed down?

Tribunal panel should forward any tribunal decision <u>involving a sanction</u> (including an official warning) for record keeping purposes.



Can the minimum sanctions in the disciplinary policy be reduced for mitigating circumstances?

No – Once an offence has been proven or admitted by a respondent the minimum sanction must apply and cannot be reduced. However, the tribunal panel does have the option of downgrading the <u>charge</u> (for example reclassifying the offence from a level 3 offence to a level 2 offence) which would attract a shorter sanction.

Does a suspension apply to <u>all</u> netball roles e.g. if a sanctioned Respondent is both a coach and the president of a club are they suspended from both roles?

The starting position is yes, that a suspended Member should be suspended from all positions/roles including official positions such a president or secretary for the duration of the suspension. However, the Tribunal has the discretion to apply a sanction as it sees fit i.e. it may be comfortable suspending a Respondent from their coaching position but allowing them to continue in their official capacity. Any alteration to the starting position (i.e. a suspension from all roles) should be clearly expressed when the decision is communicated.

Does a suspension preclude a person from spectating netball?

As highlighted above, the Tribunal has discretion over how the suspension should apply. The Tribunal should take a common-sense approach in this respect, i.e. if the Respondent's offence relates to courtside conduct, or if the tribunal considers that the Respondent is likely to breach their sanction by attending (e.g. that they will unofficially coach) then the Respondent should be directed that they do not attend netball activities as a spectator. However, it may be the case that the Tribunal is comfortable with the Respondent attending netball in a spectator capacity only (for example to watch their children play – this being unrelated to the offence the Respondent has been charged with).

